

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Aaron V. KAPLAN et al.

Application No./Patent No.: 7,309,328 Filed/Issue Date: December 18, 2007

Titled: METHODS AND APPARATUS FOR PERICARDIAL ACCESS

SentreHEART, INC., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Aaron V. KAPLAN, M.D. To: Cerebral Vascular Applications, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 026229, Frame 0442, or for which a copy thereof is attached.
2. From: Aaron V. KAPLAN, M.D. To: Cerebral Vascular Applications, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 026359, Frame 0437, or for which a copy thereof is attached.
- Dr. Aaron V. KAPLAN; Cerebral
Vascular Applications, Inc. To: SentreHEART, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 026496, Frame 0700, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08.]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Marcus Reeslund/
Signature

Marcus Reeslund (Reg. No. 59,394)
Printed or Typed Name

July 22, 2011
Date

Attorney Authorized to Act on Behalf
of Assignee
Title